

Introductory sub-table (I)(a)

Name of the Company: KALPENA PLASTIKS LIMITED			
Scrip Code, Name of the scrip, class of security: 523652, KPLASTIK, EQUITY			
Quarter ended: 30th September, 2014			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NA	NA
Held by public	NIL	NA	NA
Total - D	NIL	NA	NA
Outstanding convertible securities:-			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NA	NA
Held by public	NIL	NA	NA
Total - E	NIL	NA	NA
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NA	NA
Held by public	NIL	NA	NA
Total - F	NIL	NA	NA
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			
	5528535		100

KALPENA PLASTIKS LIMITED
Shalwan
 Director

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C) ¹	Number of shares	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group ²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family							
		0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	4007300	4007300	72.48	72.48	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)		0	0	0	0.00	0.00	0	0.00
(e-ii)		0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	2	4007300	4007300	72.48	72.48	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i		0	0	0	0.00	0.00	0	0.00
d-ii		0	0	0	0.00	0.00	0	0.00
		0	0	0	0.00	0.00	0	0.00
		0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	2	4007300	4007300	72.48	72.48	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions/ Banks	1	50	0	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(h-i)		0	0	0	0.00	0.00	0	0.00

KALPENA PLASTIKS LIMITED

Malwani
Director

(h-ii)		0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	1	50	0	0.00	0.00	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	70	139184	26184	2.52	2.52	0	0.00
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	18513	1358812	131777	24.58	24.58	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	1	22889	22889	0.41	0.41	0	0.00
(c)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(c-i)	Trust & Foundations, OCB	0	0	0	0.00	0.00	0	0.00
(c-ii)	Non Resident Individuals	1	300	300	0.01	0.01	0	0.00
(c-iii)	Clearing Members	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(2)	18585	1521185	181150	27.52	27.52	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	18586	1521235	181150	27.52	27.52	0	0.00
	TOTAL (A)+(B)	18588	5528535	4188450	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0
	Sub-Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	18588	5528535	4188450	100	100.00	0	0.00

KALPENA PLASTIKS LIMITED
Richwan
Director

category "Promoter and Promoter Group"

Sr.	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
(I)	(II)	(III) Number of shares held	(IV) As a % of grand total (A)+(B)+(C)	(V) No.	(VI)=(V)/(III)*100	(VII) As a % of grand total (A)+(B)+(C) of sub-clause (1)(a)	(VIII) Number of warrants held	(IX) As a % total number of warrants of the same class	(X) Number of convertible securities held	(XI) As a % total number of convertible securities of the same class	(XII)
1	KALPENAI ENDUSTRIES LIMITED	2002920	36.2288	0	NA	0.00	NIL	NA	NIL	NA	36.2288
2	SRI RAM FINANCIAL CONSULTANTS PVT LTD	2004380	36.2552	0	NA	0.00	NIL	NA	NIL	NA	36.2552

(c) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

KALPENA PLASTIKS LIMITED

Director

(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SIKKIM BANK LTD	100000	1.81	0	0	0	0	1.81
TOTAL		100000	1.81	0	0	0	0	1.81

KALPENA PLASTIKS LIMITED
[Signature]
Director


(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	0		0	0	0	0	0
2								
3								
4								
5								
TOTAL		0		0	0	0	0	0

KALPENA PLASTIKS LIMITED
Awani
 Director

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter /Promoter Group/Public
1	NIL	0	0.00	
2				
3				
4				
TOTAL		0	0.00	

KALPENA PLASTIKS LIMITED

Director

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
2				
3				
4				
5				
6				
7				
8				
9				
TOTAL		0	0	0.00

KALPENA PLASTIKS LIMITED
Shahwani
Director

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1	NIL	NIL	0	
2				
3				
4				
5				
6				
7				
8				
9				
TOTAL			0	

KALPENA PLASTIKS LIMITED
S. Subramaniam
Director